



-10-3

CPA/3624  
#

Atty. Dkt. No. 027756-0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arthur Willard CHAFFEE  
Title: INVESTMENT PORTFOLIO  
TRACKING SYSTEM AND  
METHOD  
Appl. No.: 09/410,825  
Filing Date: 10/01/1999  
CPA Filed: 03/06/2003  
Examiner: N. SUBRAMANIAN  
Art Unit: 3624

<b>CERTIFICATE OF EXPRESS MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231.	
<u>EU 060 044 848</u>	<u>6 March 2003</u>
(Express Mail Label Number)	(Date of Deposit)
<u>Ronald Caslick</u>	
(Printed Name)	
<u>Ronald Caslick</u>	
(Signature)	

#15  
3/18/03

RECEIVED

MAR 13 2003

GROUP 3600

CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL

Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

Sir:

This is a request under 37 C.F.R. § 1.53(d), Continued Prosecution Application (CPA), for a:

☐ Continuation

☒ Divisional

application of the above-identified prior nonprovisional application of:

Arthur W. Chaffee

in which no payment of issue fee, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this CPA.

☒ Applicant claims small entity status under 37 CFR 1.27.

☒ Please enter claims 71 - 151 submitted in applicant's reply dated 27 November 2002.

☒ Applicant elects claims 71 - 151 for examination.

CPA/2003 HDAWTE1 00000038 09410825

CPA/2003  
CPA/2002

375.00 OP  
549.00 OP

Enclosed are:

- ☐ Small Entity statement.
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of \_\_\_ listed reference(s).
- ☐ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims	Rate	Fee Totals
Basic Fee				\$750.00	\$750.00
Total Claims:	81	- 20	= 61	x \$18.00	= \$1098.00
Independents:	3	- 3	= 0	x \$84.00	= \$0.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
				SUBTOTAL:	= \$1848.00
<input checked="" type="checkbox"/>	Small Entity Fees Apply (subtract ½ of above):				= \$924.00
				TOTAL FILING FEE:	= \$924.00

- ☐ Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(i) enclosed).
- ☒ A check in the amount of \$924.00 to cover the filing fee is enclosed.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date: March 6, 2003

By 

FOLEY & LARDNER  
2029 Century Park East, 35<sup>th</sup> Floor  
Los Angeles, CA 90067-3021  
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